FAST SPHERES, SHADOWS, TEXTURES, TRANSPARENCIES, and IMAGE ENHANCEMENTS IN PIXEL-PLANES*

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ABSTRACT: Pixel-planes is a logic-enhanced memory system for raster graphics and imaging. Although each pixel-memory is enhanced with a one-bit ALU, the system's real power comes from a tree of one-bit adders that can evaluate linear expressions $A x + B y + C$ for every pixel $(x, y)$ simultaneously, as fast as the ALUs and the memory circuits can accept the results. We and others have begun to develop a variety of algorithms that exploit this fast linear expression evaluation capability. In this paper we report some of those results. Illustrated in this paper is a sample image from a small working prototype of the Pixel-planes hardware and a variety of images from simulations of a full-scale system. Timing estimates indicate that 30,000 smooth shaded triangles can be generated per second, or 21,000 smooth-shaded and shadowed triangles can be generated per second, or over 25,000 shaded spheres can be generated per second. Image-enhancement by adaptive histogram equalisation can be performed within 4 seconds on a 512x512 image.

1. INTRODUCTION

The Pixel-planes development grew out of earlier designs for speeding up raster image generation [Fuchs 1977; Johnson 1979]. An enhanced design is described in [Clark 1980]. In these designs, the task of generating pixels is distributed between several dozen processors. Even when we were designing these systems, we realised that the bottleneck in raster image generation was "pushing pixels," since bottlenecks earlier in the image generation pipeline could be eliminated by fast arithmetic hardware. Two present examples are the Weitek multiplexer chips [Weitek] and a custom "geometry engine" chip [Clark 1982]. The limitation of these earlier systems that we sought to overcome with Pixel-planes was that once the number of processors increases to one per memory chip, the bottleneck becomes data movement into the chip. Even if the processor were much faster than the memory chip, in any one memory cycle, only one address-data pair can be put into the chip. Pixel-planes attempts to overcome this limitation by putting computation logic right onto the memory chip, with an entire tree of processing circuits generating many pixels' worth of data in each memory cycle.

Central to the design is an array of logic-enhanced memory chips that form the frame buffer. These chips not only store the scanned-out image but also perform the pixel-level calculations of area-definition, visibility calculation and pixel painting. Recently, various individuals have devised other algorithms for the Pixel-planes engine—for computing shadows, sphere displays, and even image processing tasks. It is increasingly evident that the structure of the machine has greater generality and applicability than first imagined.

Although to many first-time observers Pixel-planes appears to be a variant of the parallel processor with a processor at each pixel, its power and speed come more from the binary tree of one-bit adders that efficiently compute a linear expression in $x$ and $y$ for every pixel in the entire system. Given coefficients $A$, $B$, and $C$, the two multiplier trees and a one-bit adder at each pixel compute $F(x, y) = A x + B y + C$ in bit-sequential order for each $(x, y)$ on the screen (see figure 1). If this expression had to be calculated at each pixel with only the one-bit pixel processor alone, the system would take 20 times as long to complete the calculation!

For efficiency in the actual chip layout, the two multiplier trees have been merged into a single tree and that tree

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2. ALGORITHMS IN PIXEL-PLANES

As explained above, the major feature of Pixel-planes is its ability to evaluate, in parallel, expressions of the form \( Ax + By + C \), where \((x, y)\) is the address of a pixel. The controller broadcasts \( A, B, \) and \( C \) and the expression \( Ax + By + C \) is evaluated and then compared with information already stored in the memory to each pixel cell. The memory at each pixel can be allocated in any convenient way. A typical allocation might be:

1. buffers for storage of certain key values (e.g., a ZMIN buffer for depth storage, and RED, GREEN, and BLUE buffers for color intensity values)
2. several one-bit flags which are used to enable or disable planes (via the Enable register) during various stages of processing.

The timing analyses apply to the Pixel memories and scanout. They assume image generating pipeline modules before them—the geometric transformation unit, the translator, and the controller—operate fast enough to keep up with the Pixel memories.

2.1 CONVEX POLYGONS

The display of objects made up of polygons is accomplished in three steps: scan conversion of the polygon, visibility relative to previously processed polygons, and shading.

2.1.1 Scan Conversion. The object of this step is to determine the pixels which lie inside a convex polygon. Initially, all Enable registers are set to 1. Each edge of the polygon is defined by two vertices, \( s_0 = (x_0, y_0) \) and \( s_1 = (x_1, y_1) \), which are ordered so that the polygon lies on the left of the directed edge \( s_0s_1 \). Then the equation of the edge is \( Ax + By + C = 0 \), where \( A = y_1 - y_0, \ B = x_0 - x_1, \) and \( C = x_1y_0 - x_0y_1 \). Furthermore, \( f(x, y) = Ax + By + C \) is positive if and only if \((x, y)\) lies on the same side of the edge as the polygon. The translator compares \( A, B, \) and \( C \), and these coefficients are then broadcast to Pixel-planes. A negative \( f(x, y) \) causes the Enable register for \((x, y)\) to be set to 0; otherwise the Enable register is unchanged. A pixel is inside the polygon if and only if its Enable register remains 1 after all edges have been broadcast.

2.1.2 Visibility. Once scan conversion has been performed, final visibility of each polygon is determined by a comparison of a value at each pixel. The translator first computes the plane equation, \( z = Ax + By + C \), as follows:

**Step 1:** The plane equation in eye space has the form:

\[
A's_x + B's_y + C's_z + D' = 0. \tag{1}
\]

The first 3 coefficients, which form the normal to the plane, are found by computing the cross product of the two vectors determined by the first three vertices of the polygon. Alternatively, an object space normal can be part of the polygon data structure and transformed appropriately to produce an eye space normal. Assuming that \((s_0, s_1, s_2)\) is a vertex, the last coefficient is given by:

**Step 2:** Using the transformation equations:

\[
x_0 = (x - x_0)/(x_0' - x_0), \quad y_0 = (y - y_0)/(y_0' - y_0), \quad s_1 = 1/s_0' \tag{2}
\]

where \( r_0, r_0', h_0, h_0' \) are constants related to the screen resolution and the location of the screen origin, we can transform (1) to screen space and still retain the form:

\[
D' = A's_x + B's_y + C'. \tag{3}
\]

**Step 3:** Given that \( z \) bits are reserved for the ZMIN buffer, and that the minimum and maximum \( s_{min}, s_{max} \) for the object to be displayed are known, we can resolve the equation so that \( 0 \leq s < 2^{z} - 1 \) by replacing \( D' \) by:

\[
x = (2^z - 1)/(s_{max} - s_{min}) (s - s_{min}) \tag{4}
\]

Combining this with (4) we can write \( x \) in the form \( s = Ax + By + C \). The visible pixels are then determined by using the standard Z-buffer algorithm [Birkeland 1978] at each pixel simultaneously. The controller broadcasts the plane equation of the current polygon, \( f(x, y) = Ax + By + C \). Each pixel whose Enable bit is still 1 compares its \( f(x, y) \) to the value in the ZMIN buffer. The pixel is visible if and only if \( f(x, y) < 0 \). Any pixels with \( f(x, y) \geq 0 \) are set to a high value which can be compared to any polygon with \( f(x, y) \geq ZMIN \). The controller broadcasts \( A, B, \) and \( C \) so that the still-enabled pixels can store their new ZMIN values.

**2.1.3 Shading.** To determine the proper color for each pixel, the controller broadcasts 3 sets of coefficients, one for each primary color component. For flat shading, \( A = B = 0 \) and \( C = \) color. A smooth shading effect similar to Gouraud shading (Gouraud 1971) is created by linearly interpolating the colors at the vertices of the polygon, can also be achieved.
2. Algorithms in Pixel-Planes

As explained above, the major feature of Pixel-planes is its ability to evaluate, in parallel, expressions of the form \( A + B + C \), where \((x, y)\) is the address of a pixel. The controller broadcasts \( A, B, \) and \( C \), and the expression \( A + B + C \) is evaluated and compared with information already stored in the memory to pixel cell. The memory at each pixel can be allocated in any convenient way. A typical allocation might be:

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2.1.2 Visibility. Once scan conversion has been performed, final visibility of each polygon is determined by a comparison of a value at each pixel. The translator first computes the plane equation, \( z = Ax + By + C \), as follows:

\[
D' = Ax_p + By_p + C = 0.
\]

The first 3 coefficients, which form the normal to the plane, are found by computing the cross product of the two vectors determined by the first three vertices of the polygon. Alternatively, an object space normal can be part of the polygon data structure and transformed appropriately to produce an eye space normal. Assuming that \((x_0, y_0, z_0)\) is a vertex, the last coefficient is given by:

\[
z_o = -\frac{(x_0, y_0, z_0)}{(x_0, y_0, z_0)}
\]

where \(r, \theta, \phi, A\) are constants related to the screen resolution and the location of the screen origin, we can transform (1) to screen space and still retain the form:

\[
x' = Ax + By + C
\]

Step 2: Given that \( n \) bits are reserved for the ZMIN buffer, and that the minimum and maximum values \( a_n, a_0 \) for the object to be displayed are known, we can compute the equation that 0 ≤ \( z' \) ≤ 1 by replacing \( z' \) by:

\[
z = z' = \frac{z' - a_n}{z_n - a_0} \quad (8)
\]

Combining this with (4) we can write a z in the form \( z = Ax + By + C \). The visible pixels are then determined by using the standard Z-buffer algorithm [Berdahl 1976] at each pixel simultaneously. The controller broadcasts the plane equation of the current polygon, \( s = f(x, y) = Ax + By + C \). Each pixel whose Enable bit is still 1 compares its \( f(x, y) \) to the value in the ZMIN buffer. The pixel is visible if and only if \( f(x, y) \) > ZMIN. All pixels with \( f(x, y) \geq ZMIN \) set their Enable bits to 0. The controller re-broadcasts \( A, B, \) and \( C \) so that the still-enabled pixels can store their new ZMIN values.

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For example, suppose the polygon has 3 vertices
\((x_1, y_1), (x_2, y_2),\) and \((x_3, y_3)\) with red components \(R_1, R_2, R_3.\) Geometrically, one can visualize linear interpolation of the red component at \((x, y)\) as selecting the third
component of the point \((x, y, R)\) that lies on the plane passing through \((x_1, y_1, R_1), (x_2, y_2, R_2),\) and \((x_3, y_3, R_3)\) in \(r\) space. The
translator computes the equation of this plane as below:

**Step 1:** The vector equation

\[(x, y) = (x_1, y_1) + t(x_2 - x_1, y_2 - y_1) + ((x, y) - (x_1, y_1))\]

**Step 2:** The plane equation is written in the form \(R = x + y + C,\) where

\[A = A_1(R_1 - R) + A_2(R_2 - R) + A_3(R_3 - R)\]

\[B = B_1(R_1 - R) + B_2(R_2 - R) + B_3(R_3 - R)\]

\[C = C_1(R_1 - R) + C_2(R_2 - R) + C_3(R_3 - R)\]

The translator broadcasts \(A, B,\) and \(C\) and \(x + y + C\) is stored in the RED color buffer for pixels that are still enabled after the scan conversion and visibility computations. If there are more than three vertices, the translator checks the colors \(R_1, R_2, \ldots\) at the remaining vertices
\(v_4 = (x_4, y_4), v_5 = (x_5, y_5), \ldots\). Only in the case that for
some \(v_i, v_j, i \neq 4, y, v_i = v_j + C\) \(x + y + C\) it is necessary to subdivide the
polygon by introducing new edges. Note that this subdivision is performed only during the shading stages and is not required during any other phase of processing.

**Timing Analysis.** The time it takes to process a polygon depends on the number of edges and the number of bits needed for the representation of \(x + y + C\). Suppose we require an \(E\) bit representation for enabling pixels on one side of an edge, a \(D\) bit representation for the depth buffer, and \(C\) bit representation for each color component, and \(N\) bits for the representation of screen coordinates (usually 2 more than the log of the screen resolution), then scan conversion of an edge requires \(E + N + 3\) clock cycles, and the visibility calculation of a polygon requires \(2(D + N + 3)\) clock cycles. Once this is determined, shading of the polygon without subdivision requires \(3(C + N + 3)\) additional clock cycles, while with \(3(C + N + 3) + (B + N + 3)\) additional clock cycles are needed
for each subdivision. Hence, the total time to process a "secret case" polygon is given by:

\[n(E + N + 3) + 2(D + N + 3) + 4(C + N + 3)\]

Where \(n\) is the number of edges in the polygon.

**2.3 SHADOWS**

After the visible image has been constructed, shadows created by various light sources can be determined (see figure 5). By this method, one determines shadows volumes by projection of the shadow edge polygons onto the screen plane. Briefly, the algorithm proceeds as follows:

**Step 1:** For each pixel on the plane of the polygon, a Shadow flag is allocated from pixel memory, and both the Enable register and Shadow flags are set to 0.

**Step 2:** Determination of pixels in shadow. For each polygon, the set of visible pixels that lie in the frustum of the polygon's cut shadow are determined and the Enable registers for these pixels is set to 1. The logical OR of Shadow and Enable is then stored in Shadow.

**Step 3:** Determination of color intensity of shadowed pixels. After all polygons have been processed, those pixels whose Shadow flag is 1 are in the shadow of one or more polygons. The color of intensity of these pixels is diminished by an appropriate factor.

The implementation of this algorithm is based on the parallel linear evaluation capability of Pixel-planes, together with ZMIN value that is stored for each pixel. The idea is to disable those pixels which are on the "wrong" side of each face of the shadow frustum. We begin by choosing an edge of the current polygon, and finding the plane \(F\) determined by this edge and the light source. We want to disable those pixels which are not in the same half-space relative to \(F\) as the current polygon (see figure 7). The algorithm must handle two cases:

**Case 1:** \(P\) does not pass through the origin in eye space. In this case we observe that if the eye and the current polygon are in the same half-space relative to \(P\), then it suffices to disable pixels that are farther away than \(P\), and if the eye and the current polygon are in different half-spaces relative to \(P\), then it suffices to disable pixels that are closer than \(P\). In order to accomplish this we do the following:

a) The translator determines the equation of the plane \(P\) in the form \(x - f(x,y) = x + y + C\), chooses a vertex \((x_1, y_1)\) of the polygon not on \(P\), and finds the sign of \(f(x_1, y_1)\).

b) The coefficients \(A, B,\) and \(C\) are broadcast so that \(f\) can be evaluated simultaneously at all pixels.

c) If \(f(x_1, y_1)\) is positive, all pixels whose ZMIN's are less than \(f(x, y)\) are disabled, and if \(f(x_1, y_1)\) is negative, all pixels whose ZMIN is greater than \(f(x, y)\) are disabled.

**Case 2:** \(P\) passes through the origin in eye space. This relatively rare case is easier to process than Case 1. We observe that if \(P\) projected on the screen is an edge so it suffices to disable pixels which are not on the same side of this edge as the projected current polygon. We proceed as follows:

a) The translator determines the edge equation of the intersection of \(P\) with the plane of the screen in the form \(Ax + By + C = 0\). In addition, the translator determines the sign of \(f(x,y) = x + y + C\) at a vertex \((x_1, y_1)\) not on \(P\).

b) The coefficients \(A, B,\) and \(C\) are broadcast and those pixels whose \(f(x, y)\) is not the same sign as \(f(x_1, y_1)\) are disabled.

After each edge of the polygon has been processed in this manner, the pixels that are on the same side of the plane of the polygon as the light source must still be disabled. We let \(P\) be the plane of the polygon itself, and use

![Result of all shadow processing.](image-url)
Fig. 5: The Chapel Hill "Old Well" rendered by a
Pixel-Plane functional simulator with input of 3DV polygons.
Estimated image generation time (assuming a 10MHz
clock) is 9 msec.

For example, suppose the polygon has 3 vertices
((x1,y1), (x2,y2), and (x3,y3)) with red components
(R1,R2,R3). Geometrically, one can visualize linear inter-
polation of the red component at (x,y) as selecting the
third component of the point (x,y,R) that lies on the plane
passing through (x1,y1,R1), (x2,y2,R2), and (x3,y3,R3)
in 3D-space. The translator computes the equation of this
plane as follows:
Step 1: The vector equation

\[
(x,y) = (x_1,y_1) + t(x_2-x_1,y_2-y_1) + (x_3-x_1,y_3-y_1)
\]

is solved for \( t \) which are written in the form:

\[
s = A_x t + B_y t + C_1
\]

\[
t = A_y t + B_x t + C_2
\]

Step 2: The plane equation is written in the form:

\[
A x + B y + C = 0
\]

where

\[
A = A_1(R_1 - R_2) + A_2(R_2 - R_3) + A_3(R_3 - R_1)
\]

\[
B = B_1(R_1 - R_2) + B_2(R_2 - R_3) + B_3(R_3 - R_1)
\]

\[
C = C_1(R_1 - R_2) + C_2(R_2 - R_3) + C_3(R_3 - R_1)
\]

The controller broadcasts \( A, B, \) and \( C, \) and \( A x + B y + C \) is stored in the RED color buffer for pixels that are still enabled after the scan conversion and visibility computa-
tions. If there are more than three vertices, the transla-
tor checks the colors \( R_1, R_2, \ldots \) at the remaining vertices
\( v, (x_y,y), y = (x_4,y_4), \ldots \) Only in the case that for
some \( i, j, k, i \neq j, j \neq k, k \neq i \) \( R_i = R_j = R_k \) it is necessary to subdivide
the polygon by introducing new edges. Note that this sub-
division is performed only during the shading stage and is not required during any other phase of processing.

Timing Analysis. The time it takes to process a
dependent on the number of edges and the number of
bit needed for the representation of \( A x + B y + C \). Suppose
we require \( B \) bit representation for enabling pixels on one
side of an edge, \( A \) bit representation for the depth buffer,
\( C \) bit representation for each color component, and \( N 

Fig. 6: "Old Well" with shadows (simulation). Estimated
time: 13.3 m sec.

The implementation of this algorithm is based on the
parallel linear evaluation capability of Pixel-planes, to-
gether with the ZMIN value that is stored for each pixel. The
idea is to disable those pixels which are on the "wrong" side of
each facet of the shadow frustum. We begin by choosing
an edge of the current polygon, and finding the plane \( P \)
determined by this edge and the light source. We want to
disable those pixels which are in the same half-space
relative to \( P \) as the current polygon (see figure 7). The
algorithm must handle two cases.

Step 2: Determination of points in shadow. For each
color of pixels that lie in the frustum of the
gon's cast shadow are determined and the Enable
registers for these pixels is set to 1. The logical OR of
Shadow and Enable is then stored in Shadow.

Step 3: Determination of color intensity of shadowed
pixels. After all polygons have been processed, each
those pixels whose Shadow flag is 1 are in the shadow of
a or more polygons. The color intensity of these pixels is
diminished by an appropriate factor.

Case 1: \( P \) does not pass through the origin in eye
space. In this case we observe that if the eye and the
current polygon are in the same half-space relative to \( P \),
then it suffices to disable pixels that are further to the
eye and if the current polygon are in different
half-spaces relative to \( P \), then it suffices to disable pixels
that are closer to \( P \). In order to accomplish this we do
the following:

a) The translator determines the equation of the plane \( P \)
in the form \( x = x(s,t) = A x + B y + C \), chooses a vertex
\( (x_0,y_0) \) of the polygon not on \( P \), and finds
the sign of \( f(x_0,y_0) \).

b) The coefficients \( A, B, \) and \( C \) are broadcast so that
\( f \) can be evaluated simultaneously at all pixels.

c) If \( f(x_0,y_0) \) is positive, all pixels whose \( ZMIN \) is
less than \( f(x_0,y_0) \) are disabled, and if \( f(x_0,y_0) \) is negative, all
pixels whose \( ZMIN \) is greater than \( f(x_0,y_0) \) are disabled.

Case 2: \( P \) passes through the origin in eye
space. This relatively rare case is easier to process than Case 1.
We observe that \( P \) projected on the screen is an edge so
it suffices to disable pixels which are not on the same side
of this edge as the projected current polygon. We proceed as
follows:

a) The translator determines the edge equation of the
intersection of \( P \) with the plane of the screen in the form
\( A x + B y + C = 0 \). In addition, the translator deter-
mines the sign of \( f(x_0,y_0) = A x + B y + C \) at a vertex
\( (x_0,y_0) \) not on \( P \).

b) The coefficients \( A, B, \) and \( C \) are broadcast and those
pixels whose \( f(x_0,y_0) \) is the same sign as \( f(x_0,y_0) \)
are disabled.

After each edge of the polygon has been processed in
this manner, the pixels that are on the same side of the
plane of the polygon as the light source must still be dis-
abled. We let \( P \) be the plane of the polygon itself, and use

After shadow test-processing of first edge of triangle.

After shadow test-processing of second edge of triangle.

Result of all shadow processing.

Fig. 7: Shadowing Algorithm

79
either Case 1 or Case 2 above, with the one exception that we check the sign of f at the light source. Note that in the same half-space relative to P, we disable pixels for which \( f(x, y) = z_{\text{MIN}} = f(x, y) \), and if they are in different half-spaces we do disable pixels for which \( f(x, y) = z_{\text{MIN}} = f(x, y) \). In this way, we can display either the 3D or the 2D side of a polygon.

Timing Analysis. Step 1 requires 2 clock cycles for each polygon. In order to process each plane of the shadow frustum of a polygon, we need \((E+N+3)\) cycles for the broadcast of AR, B, and C and 2 additional cycles for the resetting of the Shadow Flag. After all polygons have been processed, \( AC \) cycles are required to modify the color component. Hence, in order to process \( P \) polygons, we need \( P((E+N+3) + 2) + 3E + 2 \) clock cycles. For example if \( P = 12, N = 11 \), we need 26 cycles, and a clock period of 100 nanoseconds, 78,000 polygons can be processed per second.

2.3 CLIPPING

Clipping of polygons by boundary planes, a procedure usually performed in the geometry pipeline, is not necessary when displaying an image in Fixed-planes. Time can be saved by performing only a bounding box type of trivial rejection/acceptance. Edges which are wholly or partially off the screen will disable the appropriate pixels during scan conversion. Even if the boundary clipping is achieved by passing (at most) the two edges of the intersection of the polygon plane with the boundary plane, and disabling pixels which are on the appropriate side of these edges. The shadow volumes must be similarly clipped, by the addition of the shadow planes determined by the light source and the line of intersection of the plane of the polygon and each of the clipping planes (see Figure 8).

2.4 SPHERES

Fred Brooks suggested to us a method for drawing filled circles in Fixed-planes. We have extended that method to spheres with Z-buffer and an arbitrary light source. Since Fixed-planes is essentially a linear machine, it might seem difficult to display objects rapidly which are defined via quadratic expressions. However, by using an algorithm that, in effect, treats a circle as a polygon with one edge, and by using some appropriate approximations, we can overcome these difficulties (see Figure 9,10). Just as in polygon display, we proceed through a scan conversion, a visibility, and a shading phase [Max 1978, Figue 1984].

Step 1: Scan Conversion. Note that the equation of a circle with radius \( r \) and center \((x_c, y_c)\) can be written in the form

\[ g(x, y) = Ax + By + C - Q = 0 \]

where \( A = 2a, B = 2b, C = r^2 - a^2 - b^2, \) and \( Q = r^2 + a^2 \). A section of the memory at each pixel, called the Q-buffer, is allocated for the storage of \( r^2 + a^2 \), and is loaded with this value at system initialization time. The translator computes \( A, B, C \) and \( f(x, y) = Ax + By + C \) is evaluated at each pixel. The value in the Q-buffer is subtracted from \( f(x, y) \) and those pixels for which \( f(x, y) - Q \) is negative are disabled.

Step 2: Visibility. The eye coordinate system is chosen so that the \( z > 0 \) half-space contains the sphere, and the visible hemispheres is the set of points \((x, y, z)\) satisfying

\[ x = \sqrt[3]{(z - a^2) - (y - b)^2} \]

where \( r \) is the radius and \((a, b, c)\) is the center of the sphere. We can approximate this by

\[ x = \sqrt[3]{r^3 - (z - a^2) - (y - b)^2} / r \]

which in effect approximates the hemispheres of a paraboloid. Using a method similar to that described in Step 1, the expression in (11) can be evaluated, compared with the existing contents of the EMIN buffer, and then stored if necessary, in the ZMIN buffer. Visibility is then determined in the same way as it is for polygon display.

Step 3: Shading due to light sources at infinity. The unit outward normal at the visible point \((x, y, z)\) on the sphere with center \((a, b, c)\) and radius \( r \) is

\[ \hat{N} = (x - a, y - b, z - c) / \sqrt{(x - a)^2 + (y - b)^2 + (z - c)^2} \]

Let \( \hat{L} = (l_x, l_y, l_z) \) be the unit direction of an arbitrary light source. Then the point of maximum highlight on the sphere in \((+r \hat{L}, +r \hat{L}, +r \hat{L})\). Denote by \( C_{\text{MIN}} \) the minimum color value and by \( C_{\text{MAX}} \) the maximum color value for a given color component. Then for diffuse shading of the sphere, the color value \( C(x, y) \) is

\[ C(x, y) = \{ \begin{cases} C_{\text{MAX}} - (C_{\text{MAX}} - C_{\text{MIN}}) \cdot (N \cdot \hat{N}) & \text{if } \hat{N} \cdot \hat{L} \geq 0; \\ C_{\text{MIN}} + (C_{\text{MAX}} - C_{\text{MIN}}) \cdot (N \cdot \hat{L}) & \text{if } \hat{N} \cdot \hat{L} < 0 \end{cases} \]
either Case 1 or Case 2 above, with the one exception that we check the sign of f at the light source. Note that in the same half-space relative to P, we disable pixels for which ZMIN = f(x,y), and if they are in different half-spaces we do not disable pixels for which ZMIN = f(x,y). In this way, we can display either the left or the right side of a polygon.

Timing Analysis. Step 1 requires 2 clock cycles for each polygon. In order to process each plane of the shadow frustum of a polygon, we need \( E + N + 3 \) cycles for the broadcast of A, B, C, and 2 additional cycles for the resetting of the Shadow Tag. After all polygons have been processed, 3C cycles are required to modify the color component. Hence, in order to process P polygons, we need \( P(\text{clock cycles}) + (E + N + 3) + 2C = 3C + 2 \) clock cycles. For example, if \( P = 12, N = 11 \), we get 4 clock cycles and a clock period of 100 nanoseconds, 78,000 polygons can be processed per second.

2.3 CLIPPING

Clipping of polygons by boundary planes, a procedure usually performed in the geometry pipeline, is not necessary when displaying an image in Fixed planes. Time can be saved by performing only a bounding box type of trivial rejection/acceptance. Edges which are wholly or partially off the screen will disable the appropriate pixels during scan conversion. Even if clipping is enabled by turning it on, two edges of the intersection of the polygon plane with the hit and yon planes, and disabling pixels which are on the appropriate side of those edges. The shadow volumes must be similarly clipped, by the addition of the shadow planes determined by the light source and the line of intersection of the plane of the polygon and each of the clipping planes (see figure 8).

Fig. 8: "Old Well" with shadows cut by hit plane within the Fixed-Planes memory (simulation). 177 polygons after trivial rejection. Estimated time: 8.8 mec.

Fig. 9: Trimecho (simulation). Presorted data. Estimated time: 1.3 mec.

2.4 SPHERES

Fred Brooks suggested to us a method for drawing filled circles in Fixed planes. We have extended that method to spheres with E-buffer and an arbitrary light source. Since Fixed planes is essentially a linear machine, it might seem difficult to display objects rapidly which are defined via quadratic expressions. However, by using an algorithm that, in effect, treats a circle as a polygon and finds its edge, and by using some appropriate approximations, we can overcome these difficulties (see figures 9, 10). Just as in polygon display, we proceed through a scan conversion, a visibility, and a shading phase [Max 1976, Figue 1989].

Fig. 10: Trimecho (simulation). Unsorted data. Estimated time: 1.7 mec.

Using the parabolic approximation of the hemisphere as was done in Step 1, we can approximate \( L \). By:

\[
\mathcal{N} = \begin{cases} \frac{1}{1 + \sqrt{1 + (x-a)^2 + (y-b)^2}} & \text{if } \mathcal{N} \geq 0; \\ 0 & \text{if } \mathcal{N} < 0. \end{cases}
\]

\( \mathcal{N} \) is the color value of the point \((x,y)\) on the sphere's surface with center \((a,b)\) and radius \(r\) is

\[
\mathcal{N} = \begin{cases} \frac{1}{1 + \sqrt{1 + (x-a)^2 + (y-b)^2}} & \text{if } \mathcal{N} \geq 0; \\ 0 & \text{if } \mathcal{N} < 0. \end{cases}
\]

Let \( I = (l_x, l_y, l_z) \) be the unit direction of an arbitrary light source. Then the point of maximum brightness on the sphere in \( (x+a, y+b, z+c) \). Denote by \( \mathcal{N} \) the ambient light value and by \( \mathcal{C} \) the maximum color value for a given color component. Then for diffuse shading of the sphere, the color value at \((x,y)\) is

\[
\mathcal{C} = \begin{cases} \mathcal{N} \cdot \mathcal{C} & \text{if } \mathcal{N} \geq 0; \\ 0 & \text{if } \mathcal{N} < 0. \end{cases}
\]

\( \mathcal{C} \) is the color value of the point \((x,y)\) on the sphere's surface with center \((a,b)\) and radius \(r\) is

Step 1: Scan Conversion. Note that the equation of a circle with radius \( r \) and center \((a,b)\) can be written in the form

\[
\mathcal{N} = \begin{cases} 1 - (x-a)^2 - (y-b)^2 \text{ if } \mathcal{N} \geq 0; \\ 0 & \text{if } \mathcal{N} < 0. \end{cases}
\]

where \( A = 2a, B = 2b, C = r^2 - x^2 - y^2 \), and \( Q = x^2 + y^2 \). A section of the memory at each pixel, called the Q-buffer, is allocated for the storage of \( x^2 + y^2 \) and is loaded with this value at system initialization time. The translator computes \( A, B, C \) and \( f(x,y) \) as \( 2ax + 2by + C \) is evaluated at each pixel. The value in the Q-buffer is subtracted from \( f(x,y) \) and those pixels for which \( f(x,y) - Q \) is negative are disabled.

Step 2: Visibility. If the eye coordinate system is chosen so that the \( z > 0 \) half-space contains the sphere, then the visible hemisphere is the set of points \((x,y)\) satisfying

\[
(x-a)^2 + (y-b)^2 = r^2 - 4 - 4 \text{ if } f(x,y) < C - r^2
\]

where \( r \) is the radius and \((a,b)\) is the center of the sphere. We can approximate this by

\[
(x-a)^2 + (y-b)^2 = r^2 - 4 - 4 \text{ if } f(x,y) < C - r^2
\]

which in effect approximates the hemisphere with a paraboloid. Using a method similar to that described in Step 1, the expression in (11) can be evaluated, compared with the existing contents of the EMIN buffer, and then stored if necessary, in the ZMIN buffer. Visibility is then determined in the same way as it is for polygon display.

Step 3: Shading due to light sources at infinity. The unit outward normal at the visible point \((x,y)\) on the sphere with center \((a,b)\) and radius \(r\) is

\[
\mathcal{N} = \begin{cases} \frac{1}{1 + \sqrt{1 + (x-a)^2 + (y-b)^2}} & \text{if } \mathcal{N} \geq 0; \\ 0 & \text{if } \mathcal{N} < 0. \end{cases}
\]

where \( E = 3(\mathcal{N} + r^2 - C^2)/r \)

\[
E = 3(\mathcal{N} + r^2 - C^2)/r \text{ if } \mathcal{N} \geq 0; \\ 0 & \text{if } \mathcal{N} < 0.
\]

\( E \) is the color value of the point \((x,y)\) on the sphere's surface with center \((a,b)\) and radius \(r\) is

\[
\mathcal{N} = \begin{cases} \frac{1}{1 + \sqrt{1 + (x-a)^2 + (y-b)^2}} & \text{if } \mathcal{N} \geq 0; \\ 0 & \text{if } \mathcal{N} < 0. \end{cases}
\]

(11)

Let \( I = (l_x, l_y, l_z) \) be the unit direction of an arbitrary light source. Then the point of maximum brightness on the sphere in \( (x+a, y+b, z+c) \). Denote by \( \mathcal{C} \) the ambient light value and by \( \mathcal{C} \) the maximum color value for a given color component. Then for diffuse shading of the sphere, the color value at \((x,y)\) is

\[
\mathcal{C} = \begin{cases} \mathcal{N} \cdot \mathcal{C} & \text{if } \mathcal{N} \geq 0; \\ 0 & \text{if } \mathcal{N} < 0. \end{cases}
\]

\( \mathcal{C} \) is the color value of the point \((x,y)\) on the sphere's surface with center \((a,b)\) and radius \(r\) is

\[
\mathcal{C} = \begin{cases} \mathcal{N} \cdot \mathcal{C} & \text{if } \mathcal{N} \geq 0; \\ 0 & \text{if } \mathcal{N} < 0. \end{cases}
\]
2.5 ADAPTIVE HISTOGRAM EQUALIZATION
In computed tomographic (CT) scan displays, CT numbers must be assigned (gray) intensity levels so that the viewer can perceive appropriate degrees of contrast and detail. Because the range of CT numbers is, in general, greater than the range of intensity levels, some compression has to take place. This makes it difficult to control the contrast in both light and dark areas. The standard method, selection of windows is the CT range, results in intensity discontinuities and loss of information. AHE [Pieper 1984] is an assignment scheme that makes use of regional frequency distributions of image intensities. The processed image has high contrast everywhere and the intensities vary smoothly (see figure 11,12). The method proceeds as follows. For each point (x,y) in the image:
Step 1: A "context" region centered at (x,y) is chosen, and the frequency histogram of CT numbers in this region is computed. Typically, this region is a circle, or a square, defined by pixels adjacent to the screen boundaries.
Step 2: In this histogram, the percentile rank, \( r \), of the CT number at (x,y) is determined.
Step 3: This rank is used to compute an intensity level, \( i \), in some grey scale ranging between \( m_y \) and \( m_z \). Specifically, \( i = m_y + r* (m_z - m_y) \).
This method requires the computation of a CT distribution at every pixel in the image, and so it is far too inefficient for most use, requiring approximately 3 minutes to compute on a 256 x 256 image on a VAX 11/780. A more efficient alternative, requiring about 30 seconds for a 256 x 256 image, is to compute the distribution only at a small set of sample points and use a linear interpolation scheme to approximate the intensity levels at the other points.
An efficient alternative, which finds the exact value at each pixel, can be implemented in Pixel planes. The idea is to make use of the parallel processing capability to construct the rank incrementally at each pixel simultaneously.
Step 1: The CT numbers are loaded into the pixel memories, and a counter at each pixel is initialized.
Step 2: For each pixel \((x_0,y_0)\):
   a) The coefficients necessary to disable those pixels that are outside the contextual region centered at \((x_0,y_0)\) are broadcast. For example, if the region is a polygon or a circle, this is equivalent to the scan conversion step discussed earlier.
   b) The CT number, \(N(x_0,y_0)\), is broadcast and compared, in parallel, to the CT number, \(N(x,y)\) which is stored at each enabled pixel \((x,y)\). If \(N(x,y) > N(x_0,y_0)\), the counter at \((x,y)\) is incremented.
Step 3: After all pixels have been processed, the counter at each pixel contains a number within its own contextual region. If both the number of pixels in the contextual region and the length of the grey scale are powers of 2, this rank can easily be scaled to an intensity by shifting bits.
Timing Analysis. It requires \(2N + 40\) cycles to load each pixel with its CT value and initialize its counter. It requires \(2(2N + 40)\) cycles to disable pixels outside each contextual region and \(40\) cycles to broadcast the CT numbers and increment the counters. On a 512 x 512 display with \(N = 11\) and \(E = 12\), we have estimated the time required to perform AHE is about 4 seconds.
3. ALGORITHMS UNDER DEVELOPMENT
This section describes algorithms still under development. Only functional simulations (rather than detailed behavioral ones) have been executed and the timing estimates are thus less precise. In particular, we are still exploring speedups for multiplication and division in the pixel processors. The timing estimates given in the figures are conservative (we hope), but assume a 10Mhz clock.

3.1 TEXTURE MAPPING
One way of producing a texture on a polygon is to compute a texture plane address \((u,v)\) associated to each pixel \((x,y)\) and then look up the appropriate color value in a texture table indexed by \(u\) and \(v\). The Pixel planes linear evaluator can be used to determine, in parallel, this texture plane address.

3.2 TRANSPARENCY
Transparency effects can be achieved by disabling patterns of pixels prior to polygon processing. For example, one could broadcast the coefficients 1, 0, 0 in order to evaluate \(z = y\), and disable those pixels for which \(z + y\) is even (see figure 14).

Fig. 11: Original CT scan image.

Fig. 12: CT scan image after AHE enhancement (simulation). Estimated time for this 256 x 256 pixel image: 4 seconds.

Fig. 13: Bricked "Old Well" (simulation). 64 transparency polygons out of a total of 387. Estimated time: 13.8 usec.

Fig. 14: "Old Well" with transparent columns (simulation). 64 transparency polygons out of a total of 307. Estimated time: 13.8 usec.

Method 1: Successive Refinement. Each pixel \((x,y)\) is subdivided into a grid of subpixels so that each subpixel has an address of the form \((x + a, y + b, p)\). We generate the image several times (perhaps, each time offsetting the image slightly by some \(a, b, p\), in such a way that the sample points within a pixel's area form a reasonable distribution. (The shift is easily achieved by adding \(a, b, p\) to the \(c\) coefficient of each broadcast triplet.) Two sets of color buffers are maintained, one to store the results of the image generated by the latest image generation offset and the other to store a running average as we move around the subpixel grid.

Fig. 15: Grid of subpixels.
2.5 ADAPTIVE HISTOGRAM EQUALIZATION

In computed tomographic (CT) scan displays, CT numbers must be assigned (gray) intensity levels so that the viewer can perceive appropriate degrees of contrast and detail. Because the range of CT numbers is, in general, greater than the range of intensity levels, some compression has to take place. This makes it difficult to control the contrast in both light and dark areas. The standard method, selection of windows is the CT range, results in intensity discontinuities and loss of information. AHE [Piec 1984] is an assignment scheme that uses regional frequency distributions of image intensities. The processed image has high contrast everywhere and the intensities vary smoothly (see figure 11,12). The method proceeds as follows. For each point \((x, y)\) in the image:

**Step 1:** A "contextual" region centered at \((x, y)\) is chosen, and the frequency histogram of CT numbers in this region is computed. Typically, this region is a circle, or a square with edges parallel to the screen boundaries.

**Step 2:** In this histogram, the percentile rank, \(r\), of the CT number at \((x, y)\) is determined.

**Step 3:** This rank is used to compute an intensity level, \(i\), in some gray scale ranging between \(m_p\) and \(i_s\). Specifically, \(i = t_i + r(t_f - t_i)\).

This method requires the computation of a CT distribution at every pixel in the image, and so it is far too inefficient for most use, requiring approximately 5 minutes to compute on a 256 × 256 image on a VAX 11/780. A more efficient alternative, requiring about 30 seconds for a 256 × 256 image, is to compute the distribution only at a small set of sample points and use a linear interpolation scheme to approximate the intensity levels at other points.

An efficient alternative, which finds the exact value at each pixel, can be implemented in Pixel planes. The idea is to make use of the parallel processing capability to construct the rank incrementally at each pixel simultaneously.

**Step 1:** The CT numbers are loaded into the pixel memories, and a counter at each pixel is initialized.

**Step 2:** For each pixel \((x, y)\):

- The coefficients necessary to displace those pixels that are outside the contextual region centered at \((x, y)\) are broadcast. For example, if the region is a polygon or a circle, this is equivalent to the scan conversion step discussed earlier.
- The CT number, \(N(x, y)\), is broadcast and compared, in parallel, to the CT number, \(N(x, y)\), which is stored at each enabled point \((x, y)\). If \(N(x, y) > N(x, y)\), the counter at \((x, y)\) is incremented.

**Step 3:** After all pixels have been processed, the counter at each pixel contains a number within its own contextual region. If both the number of pixels in the contextual region and the length of the gray scale are powers of 2, this rank can easily be scaled to an intensity by shifting bits.

**Timing Analysis:** It requires 25 cycles to load each pixel with its CT value and initialize its counter. It requires \(2(N + 3)\) cycles to displace pixels outside each contextual region and 40 cycles to broadcast the CT numbers and increment the counters. On a 512 × 512 display with \(N = 11\) and \(E = 12\), we have estimated the time required to perform AHE is about 4 seconds.

3. ALGORITHMS UNDER DEVELOPMENT

This section describes algorithms still under development. Only functional simulations (rather than detailed behavioral codes) have been executed and the timing estimates are thus less precise. In particular, we are still exploring speeds for multiplications and division in the pixel processors. The timing estimates given in the figures are conservative (we hope), but it will assume a 10MHz clock.

3.1 TEXTURE MAPPING

One way of producing a texture on a polygon is to compute a texture plane address \((x, y)\) associated to each pixel \((x, y)\) and then look up the appropriate color value in a texture table indexed by \(x\) and \(y\). The Pixel planes linear evaluator can be used to determine in parallel, this texture plane address.

To see how this is done, we proceed through some mathematical computations. In order to orient a texture on a polygon in eye space we first choose a point \(P_0\) on the polygon and 2 orth normal vectors \(S\) and \(T\) in the plane of the polygon. Then the texture address \((x, y)\) associated to the point \(X\) on the polygon is given by:

\[
\begin{align*}
    u &= \frac{X - (X - P_0)}{S} \\
    v &= \frac{T - (X - P_0)}{T} \\
\end{align*}
\]

If \(X = (x_1, y_1, z_1)\), \(P = (x_2, y_2, z_2)\), and \(X = (x_3, y_3, z_3)\), equations (10) can be rewritten in coordinate form as:

\[
\begin{align*}
    u &= \frac{x_1 - x_2 + x_3 - x_2}{x_3 - x_2} \\
    v &= \frac{y_3 - y_2}{x_3 - x_2} \\
\end{align*}
\]

Substituting the values \((x_1), \(x_2), \) and \(x_3\), and the control coefficients \(A, B, C, D\), the texture address is.

\[
\begin{align*}
    x &= (A + B y + C z) x \\
    y &= (A + B y + C z) y \\
\end{align*}
\]

3.2 TRANSPARENCY

Transparency effects can be achieved by disabling patterns of pixels prior to polygon processing. For example, one could broadcast the coefficients \(1, 1, 0, 0\) in order to evaluate \(x + y\), and disable those pixels for which \(x + y\) is even (see figure 14).

Fig. 14: "Old Well" with transparent columnar simulation. 64 transparent polygons out of a total of 257. Estimated time: 13.8 msec.

Transparency effects can also be produced with sub-pixel mask access refinement, where transparent polygons are ignored on particular passes over the database. For example, transparent polygons can be ignored every other pass or every third pass, thereby yielding different degrees of transparency.

3.3 ANTI-ALIASING

We have been developing several anti-aliasing techniques for polygons. We have come to believe that the essential difference between various approaches is whether the visibility at the subpixel level is performed before or after the anti-aliasing computations. Our first approach, which aims at producing an image rapidly and improving the image with each screen refresh, makes no assumptions about visibility determination before the Pixel memories. The second approach, which takes more time, but produces a high quality anti-aliased image initially, assumes visibility ordering has already been done.

Method 1: Successive Refinement. Each pixel \((x, y)\), is subdivided into a grid of subpixels so that each subpixel has an address of \(x\) and \(y\) to \(x\) and \(y\). We generate the image several times (16 perhaps), each time offsetting the image slightly by some \((x, y)\) to \(x\) and \(y\). In such a way that the point samples within a pixel's area form an area. The shift is easily achieved by adding \(x\) to \(x\) and \(y\) to \(y\) to \(x\) and \(y\) to each broadcast triple.) Two sets of color buffers are maintained, one to store the output image, the other to store a running average as we move around the subpixel grid.

Fig. 15: Bricked "Old Well" (simulation). 66 textured polygons out of a total of 257. Estimated time: 14.3 m sec.
The extra cost of the algorithm over standard subclass "super-sampling" is the color blending between each pass over the pixel. For each color, the extra cost is less than 1000 clock cycles (100 microseconds) per pass. This particular super-sampling successive refinement technique, however, supports dynamic scene illumination and textures. The initial images appear similar to common anti-aliased images, and significant detail is produced within a few additional sampling passes. Method 2: Subpixel Coverage Mask. The polygons are sorted from front to back, perhaps by first transforming the polygon list into a BSP tree [Fuchs 80]. Each pixel is subdivided into a number of subpixels and one bit of the pixel memory is reserved for each such subpixel. During the scan conversion step of polygon processing, the coefficients defining each edge are normalized to yield the distance from the center of the pixel to the edge. The coverage mask and area calculations of an edge are passed from a precomputed table [Carpenter 84] in the controller indexed by the distance to A and B. This data (note that only one row of the table needs to be passed for any edge) the number of ones in the mask is used to compute a color contribution which is added to the color buffers. When the number of ones in the coverage mask stored at each pixel reaches the total number of subpixels, the pixel is dis- enabled. Since polygons are processed in front to back order, "leakage" of color from hidden polygons is avoided. This approach is somewhat similar to the one used in the Evans and Sutherland CT-5 real-time image generation system often used for flight training [Schumaker 86].

6. CONCLUSIONS

We have highlighted in this paper the aspects of Pixel- planes that give it computing power and efficiency—the parallel linear expression evaluator embodied in the tree of one-bit nodes and the hardware capability for display by de- scribing a variety of algorithms (shadows, spheres, image enhancement) that appear to run efficiently in this ma- chine. Pictures such as those generated by our algorithms indicate that high-quality images can be generated rapidly enough for display, often real-time, interaction. The images from the working small prototype (see figure 4) are simpler than the images from the simulators due to the small number of custom chips presently available. We expect Pixel-planes 4, with considerably increased speed and resolution, to start working by June 1985. We expect that a full size (5000 x 1000) display system can be built with less than 500 Pixel-memory chips in currently available (Siemens CM2400 CMOS) technology. We also hope that the algorithm develop- ments, especially those based on simplifying algorithms into linear form, will be useful for those developing graphics algorithms on other parallel machines.

5. ACKNOWLEDGEMENTS

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ENTRANCE: CRUGS, AND TIMES

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ABSTRACT

A discussion is presented of the relationship between two new solid representation schemes: constructive solid geometry (CSG) and recursive spatial subdivision exemplified by the binary space partition. The two methods are compared, and their differences and similarities are discussed. Detailed algorithms are developed and analyzed for evaluating CSG trees by binary conversion, i.e., by determining explicitly which part of space is solid and which empty. These tech- niques enable the addition of the time dimension and motion to the approximation analysis of CSG trees in a simple manner to solve problems such as dynamic interference detection. For "well-behaved" CSG trees, the execution time of the conver- sion algorithm is directly related to the spatial complexity of the object represented by the CSG tree (i.e., asymptotically it is proportional to the number of binary nodes as the resolu- tion increases). The set of well-behaved CSG trees includes all trees that define multiaffine polynomials in a manner that does not give rise to tangential intersections as CSG tree nodes.

CR Categories and Subject Descriptors: I.3.5 (Computer Graphics): Computational Geometry and Object Representation; solid object and object representation; geometric algorithms and sys- tems; I.3.3 (Computer Graphics): Picture/Image Generation — display algorithms, viewing algorithms

General Terms: Algorithms, Data Structures

Additional Key Words and Phrases: constructive solid geometry (CSG), time, motion, conversion, image processing, hierarchical data structures, binary, quadtree, octree, interframe representation, solid modeling

1. INTRODUCTION

Constructive solid geometry (CSG) uses trees (CSG trees) of building blocks primitives (parallelipipeds, spheres, cylinders, ...), combined by geometric transformations and Boolean set operations as a representation of three-dimensional solid objects. Permission to copy without fee all or part of this material is granted provided that the copies are not made or distributed for direct commercial advantage, the ACM copyright notice and the title of the publication and its date appear, and notice is given that copying is by permission of the Association for Computing Machinery. To copy otherwise, or to republish, requires a fee and/or specific permission.

Figure 1. Sample image and its binary representation.